

SYPA Record of Breaches

Year	Ref	Date Identified	Type of Breach (e.g. personal data, contributions, criminal activity, etc)	Description	Action Taken in Response to Breach	Possible Impact (Red/Amber/Green)	Date Reported to Local Pension Board or Authority	Reported to Pensions Regulator or other statutory body (e.g. ICO)?	Reported to Data Protection Officer?	Details of any follow up actions taken/required or wider implications	Breach Open/Closed
2018/19	17	01/10/2018	Personal Data	Employer had provided incorrect address details for two Members (A) and (B) with identical surname - addresses were reversed. Expression of Wish details for Member (A) were therefore sent to Member (B)	Both Members notified of error and raised no further concerns.	Green	17/01/2019 (LPB)	NO	NO	Employer was notified of their error and asked to correct. Personal Details removed from Expression of Wish acknowledgement.	Open pending any Board comments
2018/19	18	05/10/2018	Personal Data	Pension statement relating to Member (A) was enclosed with correspondence issued to scheme member (B) in error. Statement for (A) was forwarded to Member (B).	Member (B) dissatisfied but raised no complaint.	Green	17/01/2019 (LPB)	NO	NO	Project underway to move letter production to direct (hybrid) mail which will reduce risk of this recurring.	Open pending any Board comments
2018/19	19	15/11/2018	Personal Data	<i>Information relating to more than one member sent to Employer but they incorrectly distributed it to all members</i>	<i>For Employer</i>	<i>Green</i>	<i>17/01/2019 (LPB)</i>	<i>NO</i>	<i>NO</i>	<i>None identified as employer issue.</i>	<i>Open pending any Board comments</i>